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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

•	Anticipated Classification of this application:
	Class Subclass
	* * *
	Application No.: 08 / 468,649
DOLOR ARRIVEATION	Examiner: J. Dudek
PRIOR APPLICATION	Art Unit: 2515
	Art Unit:

Box FWC Assistant Commissioner for Patents Washington, D.C. 20231

FILE WRAPPER CONTINUING APPLICATION (FWC) TRANSMITTAL (37 C.F.R. 1.62)

WARNING: This form cannot be used where the parent case may not be abandoned because the filing of a request under the FWC procedure "will be considered to be a request to expressly abandon the prior application as of the filing date granted to the continuing application." 37 C.F.R. 1.62(g).

WARNING: This procedure can only be used for a pending application prior to payment of the issue fee (37 C.F.R. 1.62(a)), except if the parent application was withdrawn under 37 C.F.R. 1.313(b)(5) "to permit consideration of an information disclosure statement under 1.97 in a continuing application." 37 C.F.R. 1.62(a).

WARNING: The filing of an application at the United States stage of an international application requires an oath or declaration. 37 C.F.R. 1.61(a)(4).

WARNING: The claims of this new application may be finally rejected in the first Office action where all claims of the new application are drawn to the same invention claimed in the earlier application and would have been properly finally rejected on the grounds or art of record in the next Office action if they had been entered in the earlier application. MPEP § 706.07(b).

WARNING: An application under 37 C.F.R. 1.62 is filed by making changes by amendment to the prior application, as it exists at the time of the filing of this FWC, and not by filing a new application. 37 C.F.R. 1.62(e).

WARNING: Filing under 37 C.F.R. 1.62 is permitted only if filed by the same or less than all the inventors named in the prior application. 37 C.F.R. 1.62(a).

CERTIFICATION UNDER 37 C.F.R. 1.10

I hereby certify that this FWC Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date _______, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number ______, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Patricia Hofstetter
(type or print name of person mailing paper)

Pamu Hos Letter
Signature of person mailing paper

NOTE: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed

thereon prior to mailing. (37 C.F.R. 1.10(b)).

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

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WARNING:		"No copy of prior application or new specification is required. Filling of such a copy or specification be considered improper, and a filing date as of the oale of deposit of the request for an application under this section will not be granted to the application unless a petition with the fee set forth in § 1.17(i) is filed with instructions to cancel the copy or specification." 37 C.F.R. 1.62(e).
WARNIN	VG: F	File wrapper continuing procedure filings can only be based on a prior complete application as defined by § 1.51(a)(1), and not a prior complete provisional application as defined by § 1.51(a)(2).
This is C.F.R. 1.	a re .62),	equest for a filing under the file wrapper continuing application procedure (37 for a
Ä	co	ontinuation
	di	visional
	co	ontinuation-in-part (for oath or declaration, see III below)
	At	tached is an amendment for added subject matter
		entinuing application to permit consideration of an information disclosure atement under 37 C.F.R. 1.97.
	i. The p	iling date under 37 C.F.R. 1.62(a) is " the date on which a request is filed for an application notuding identification of the application number and applicant's name of the prior application." or
	PAI	RTICULARS OF PRIOR NONPROVISIONAL APPLICATION
WARNIN	(File wrapper continuing procedure filings can only be based on a prior complete application as defined by § 1.51(a)(1), and not a prior complete provisional application as defined by § 1.51(a)(2). 37 C.F.R. 1.62(a).
A. A	ppli	cation No. 0 8 / 468,649 filed June 6, 1995
В. Т	itle	Date (as originally filed Liquid Crystal Display formed by a plurality of
		ANNIN ENVIOUS (Non-electrically Interconnected Liquid Crystal Display
C. N	lame	e of applicant(s) (as originally filed and as last amended) and current spondence address of applicant(s)

(FWC [4-2]—page 2 of 13)

I. FULL NAME OF	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME		
INVENTOR	IZUMI	Yoshihiro			
RESIDENCE &	CITY	STATE OR FOREIGN	COUNTRY OF CITIZENSHIP		
CITIZENSHIP Kashihara-shi		COUNTRY Nara	Japan		
POST OFFICE ADDRESS	POST OFFICE ADDRESS 508-8,	СІТҮ	STATE & ZIP CODE/COUNTRY		
ADDRESS	Kuzumoto-cho	Kashihara-shi	Nara, Japan 634		
2. FULL	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME		
NAME OF INVENTOR	FUJIWARA	Sayuri			
RESIDENCE &	CITY	STATE OR FOREIGN	COUNTRY OF CITIZENSHIP		
CITIZENSHIP	Nara-shi	COUNTRY Nara	Japan		
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY		
ADDRESS	606-99 Sanjo-cho	Nara-shi	Nara, Japan 630		
3. FULL	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME		
NAME OF INVENTOR	SHINOMIYA	Tokihiko			
RESIDENCE & CITIZENSHIP	CITY Nara-shi	STATE OR FOREIGN COUNTRY Nara	Japan		
POST OFFICE	POST OFFICE ADDRESS	СІТҮ	STATE & ZIP CODE/COUNTRY		
ADDRESS	106-32 2-chome Tomiokawanishi	Nara-shi	Nara, Japan 631		

☐ Continued on Added Page for Inventor's Data

The above identific application, in which no payment of issue ice, abandonment of (other than where the above identified application was abandoned under 37 C.F.R. 1.313(b)(5) to permit consideration of an information disclosure statement under 37 C.F.R. 1.97), or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

It is understood that secrecy under 35 U.S.C 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 C.F.R. 1.62 application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

II. Inventorship statement

- NOTE: "If the continuation, continuation-in-part, or divisional application is filed by less than all the inventors named in the prior application a statement must accompany the application when filed requesting deletion of the names of the person or persons who are not inventors of the invention being claimed in the continuation, continuation-in-part, or divisional application." 37 C.F.R. 1.62(a).
- NOTE: "In the case of a continuation-in-part application which adds and claims additional disclosure by amendment, an oath or declaration as required by § 1.63 must be filed. In those situations where a new oath or declaration is required due to additional subject matter being claimed, additional inventors may be named in the continuing application. In a continuation or divisional application which discloses and claims only subject matter disclosed in a prior application, no additional oath or declaration is required and the application must name as inventors the same or less than all the inventors in the prior application." 37 C.F.R. 1.60(c).

(complete applicable item (a), (b) and/or (c) below)

		(complete applicable item (a), (b) and/or (c) below)
(a)	X	This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
		★ the same.
		less than those named in the prior application. It is requested that the following inventor(s) identified above for the prior application be deleted:
	·-·	(type name(s) of inventor(s) to be deleted)
(p)		This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application whose particulars are set out above, the inventor(s) in this application are
	•	☐ the same.
		Add the following additional inventor(s).
		(type name of inventor(s) to be added)
(c)		The inventorship for all the claims in this application is
		☐ the same.
		not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

(FWC [4-2]-page 4 of 13)

III.	Dec	lara	ion or oath
A.	Cont	inuat	on or divisional
	凶	Nor	e required.
В.	Cont	inuat	on-in-part
		Atta	ched.
		Exe	uted by
			(check all applicable items)
			inventor(s).
			legal representative of inventor(s). 37 C.F.R. 1.42 or 1.43.
			joint inventor or person showing a proprietary interest for inventor who refused to sign or cannot be reached. 37 C.F.R. 1.47;
			☐ This is the petition required by 37 C.F.R. 1.47 and the statement required by 37 C.F.R. 1.47 is also attached. (See item VIII below for fee.)
		Not	attached.
			 Application is made by a person authorized under 37 C.F.R. 1.41(c) on behalf of all of the above named applicant(s). (The declaration or oath, along with the surcharge required by 37 C.F.R. 1.16(e) can be filed subsequently.)
			Attached is a showing that the filing is authorized. (Not required unless called into question. 37 C.F.R. 1.41(d))
IV.	lder Pros	ntific se c u	ation of Amendment Being Filed and/or Claims for Further ion
WA	RNING	wh and ear	e claims of a new application may be finally rejected in the first Office action in those situations re (1) the new application is a continuing application of, or a substitute for, an earlier application, (2) all the claims of the new application (a) are drawn to the same invention claimed in the er application, and (b) would have been properly finally rejected on the grounds of art of record e next Office action if they had been entered in the earlier application." MPEP § 706.07(b).
		The	ees to be charged are to be based on the number of claims remaining as ult of the:
			attached preliminary amendment.
			he unentered amendment filed under 37 C.F.R. 1.116 in the prior application, which is now repeated.
			he claims as on file in the prior application.



NOTE: The filing fee for a continuation, continuation-in-part, or divisional application is based on the number of claims remaining in the application after entry of any preliminary amendment and entry of any amendments under 37 C.F.R. 1.116 unentered in the prior application which is requested to be entered in this FWC application. 37 C.F.R. 1.62.

Number Filed	Number Extra		Rate	Basic Fee 37 C.F.R. 1.16(a) \$770.00
Total Claims (37 C.F.R. 1.16(c) 50 - 20 =	30	×	\$ 22.00	
Independent Claims (37 C.F.R. 1.16(b)) 9 - 3 =	6	×	\$ 80.00	
Multiple dependent claim(s), if any (37 C.F.R. 1.16(d))		+	\$260.00	
☐ The fee for extra claim Filin NOTE: If the fees for extra claims are not	g fee calculation		(770.00

prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 C.F.R. 1.16(d).

VI. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment

NOTE: Where it is possible that the claims on file will give rise to a first action final for this continuation application and for some reason an amendment cannot be filed promptly (e.g., experimental data is being gathered), it may be desirable to file a petition for suspension of prosecution for the time necessary,

(check the next item, if applicable)

There is provided herewith a Petition to Suspend Prosecution for the time Necessary to File an Amendment (New Application Filed Concurrently).

VII. Small Entity Statement

	A verified	statement	that	this	is a	filing	bv a	small	entity	is	attached.
--	------------	-----------	------	------	------	--------	------	-------	--------	----	-----------

WARNING: "Status as a small entity in one application or patent does not affect any other application or patent, including applications or patents which are directly or indirectly dependent upon the application or patent in which the status has been established. A nonprovisional application claiming benefit under 35 U.S.C. 119(e), 120, 121 or 365(c) of a prior application may rely on a verified statement filed in the prior application if the nonprovisional application includes a reference to a verified statement in the prior application or includes a copy of the verified statement filed in the prior application if status as a small entity is still proper and desired." 37 C.F.R. § 1.28(a).

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(Rel.70-12 96 Pub.605) **FORM 4-2** 4-38



FORM 4-2

☐ Status as a small entity was claimed in prior	application
/, filed on	, from which benefit is being
claimed for this application under:	
35 U.S.C. □ 120, □ 121, □ 365(c),	
and which status as a small entity is still pro	oper and desired.
 A copy of the verified statement in the p 	prior application is included.
Reduced filing fee calculation (50% of about	ove) \$
NOTE: 37 C.F.R. 1.28(a) states: "Status as a small entity must be specifiled in each application or patent in which the status is available filed under § 1.60 or § 1.62 of this part where the status as parent application and is still proper."	le and desired, except those applications
The last sentence of 37 C.F.R. 1.28(a) states: "Applications must include a reference to a verified statement in a parent still proper and desired."	filed under § 1.60 or § 1.62 of this part application if status as a small entity is
Any excess of the full fee paid will be refunded if a verified within 2 months of the date of timely payment of a full fee the on request. 37 C.F.R. 1.28(a).	statement and a refund request are filed then the excess fee paid will be refunded
/III. Fee Payment Being Made at This Time	
Not attached	
□ No filing fee is submitted.	
(This and the surcharge required by 37 C.F.R. 1	.16(e) can be paid subsequently.)
Attached	, 770.00
☑ filing fee	\$
recording assignment (\$40.00; 37 C.F.R. 1.21(h)). For payment of fee see item XIV below.	\$
 petition fee for filing by other than all the inventors or person not the inventor whe inventor refused to sign or cannot be rea (\$130.00; 37 C.F.R. 1.47 and 1.17(h)) 	re
processing and retention fee (\$130.00; 37 C.F.R. 1.53(d) and 1.21(l))	\$
NOTE: 37 C.F.R. 1.21(I) establishes a fee for processing and retaining failing to complete the application pursuant to 37 C.F.R. 1.5 37 C.F.R. 1.53 and 1.78, indicate that in order to obtain the the basic filing fee must be timely paid or the processing an within 1 year from the notification under § 1.53(d).	i3(d) and this, as well as the changes to benefit of a prior U.S. application, either d retention fee in § 1.21(l) must be paid
Total fees end	slosed \$770.00

IX. Method of Payment of Fees
Charge Account No in the
amount of \$
☐ A duplicate of this request is attached.
NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 C.F.R. 1.22(b).
X. Authorization to Charge Additional Fees
WARNING: If no fee payment is made at this time, this item should not be completed.
WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges if extra claim charges are authorized.
The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No04_1105:
△ 37 C.F.R. 1.16(a), (f) or (g) (filing fees)
△ 37 C.F.R. 1.16(b), (c) and (d) (presentation of extra claims)
NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.
 37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
☐ 37 C.F.R. 1.17 (application processing fees)
WARNING: While 37 C.F.R. 1.17(a), (b), (c) and (d) deal with extensions of time under § 1.136(a), this authorization should be made only with the knowledge that: "Submission of the appropriate extension fee under 37 C.F.R. 1.136(a) is to no avail unless a request or petition for extension is filed" (Emphasis added). Notice of Nov. 5, 1985 (1060 O.G. 27).
 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))
NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the Notice of Allowance. 37 C.F.R. 1.311(b).
37 C.F.R. 1.28(b) states: (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity. Notification of any change of status resulting in loss of entitlement to small entity status must be filed in the application prior to, or at the time of, paying the issue fee. 37 C.F.R. 1.28(b).
XI. Instructions as to Overpayment
☑ Credit Account No. <u>04-1105</u>
☐ Refund

(FWC [4-2]—page 8 of 13)

XII.	Pri	ority	y—35 U.S.C. 119(a)-(d)	6 210216	0/2/0/
	[]		prity of Application No.	6-210216 / ⁷⁻²⁹³⁹⁵ filed	9/2/94 2/17/95
	<u> </u>	in _	Japan	is claimed under	OH
			Country		,
		X	The certified copy has beet tion Serial No. 0 8 / 468.	en filed on <u>6/6/95</u> .649, which	in prior U.S. applica- prior application was filed or
			Certified copy will follow.	- 	
XIII.	Re	elate	Back		
		12 ea (35 ap ap by ea	0, 121 or 365(c), the 20-year tern rliest U.S. application that the appli 5 U.S.C. 154(a)(2) does not take plication on which priority is cla plication, applicant should review an earlier application and, if not,	n of that application will ication makes reference into account, for the de- imed under 35 U.S.C. whether any claim in the the applicant should co patent is not based on a	rlier filed application under 35 U.S.C. be based upon the filing date of the to under 35 U.S.C. 120, 121 or 365(c). Stermination of the patent term, any 119, 365(a) or 365(b).) For a c-i-page patent that will issue is supported a patent that will issue is supported to the claim-by-claim approach. See Notice
NOTE	ar pi oi ar	oplicat mende rior ap r interi oplica:	tions or international applications of the to contain in the first sentence of the plication, identifying it by applicational application number and i	designating the United S of the specification follow ion number (consisting on international filing date a	e prior filed copending nonprovisional States of America must contain or be ving the title a reference to each such of the series code and serial number, and indicating the relationship of the by be made when appropriate. (See
			(complete the f	ollowing, if applical	ole)
		Ame	end the specification by in	serting, before the	first line, the sentence:
A. 3	5 U.		119(e)		
NOTE	ap th ar	e title	ions must contain or be amended a reference to each such prior pro uding the provisional application nu	to contain in the first servisional application, ide	ore prior filed copending provisional entence of the specification following ntifying it as a provisional application, as code and serial number)." 37 C.F.R.
WAR	NING	ot.	nile this application under 37 C.F. a provisional application, the nonp a benefit of a provisional application	rovisional application giv	file wrapper continuation application ing rise to this FWC filing could claim
		"Thi	s application claims the be	enefit of U.S. Provi	sional Application(s) No(s).:
APPL	CA	rion	NO(S).:		FILING DATE
	/_				
	/-				,"

3. 35 U.S.C. 120, 21 and 3	965(c)	
applications or international amended to contain in the f prior application, identifying or international application	tion claiming the benefit of one or more prior filed copending applications designating the United States of America musics sentence of the specification following the title a referency it by application number (consisting of the series code and number and international filing date and indicating the rescess to other related applications may be made when a (78(2)).	ust contain or be nee to each such nd serial number) elationship of the
"This application is	a	
continuation		
☐ divisional		•
continuation-in	-part	
of copending application(s)		
☐ Serial Number	0 / filed on	
International A which designa	Application filed on ted the U.S."	and
NOTE: The proper reference to a serial number and the filing	prior filed PCT application that entered the U.S. national p g date of the PCT application that designated the U.S.	phase is the U.S.
XIV. Assignment		
The prior application	on is assigned of record to Sharp Kabushi	ki Kaisha
☐ An assignment of	the invention to	
is attached. A sep ACCOMPANYING attached.	arate ☐ "COVER SHEET FOR ASSIGNMENT NEW PATENT APPLICATION" or ☐ FORM PTO	(DOCUMENT) O 1595 is also
NOTE: "If an assignment is submit and one for the assignment	ted with a new application, send two separate letters—one nt." Notice of May 4, 1990 (1114 O.G. 77-78).	for the application
XV. Power of Attorney		
The power of attorney in the	ne prior application is to	
David G. Conlin	27,02	26
Attorney		Reg. No.
a. 🛛 The power appear	rs in the original papers in the prior applicatio	n
•		
	not appear in the original papers, but was filed	
	been executed and is attached.	
d. Address all future	communications to:	
(item d may only be co	empleted by applicant, or attorney or agent of	record.)
Brian L.	Michaelis 34,2	21
Name Dike, Bro	Reg. No. nstein, Roberts & Cushman, LLP	
Address	treet Boston, MA 02109 (617) 52	23-3400
	Tel. No.	

(FWC [4-2]-page 10 of 13)



(this item must be completed and the necessary papers filed in the prior application, if the period set in the prior application has run)

- NOTE: The PTO finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the papers constituting the filing of the continuation application. Notice of Nov. 5, 1985 (1060 O.G. 27).
 - A copy of the petition for extension of time in the prior application is attached.

XVII. Conditional Petitions for Extension of Time in Prior Application

(complete this item and file conditional petition in prior application, if previous item is not applicable)

- A conditional petition for extension of time is being filed in the pending prior application
- NOTE: The PTO finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the papers constituting the filing of the continuation application. Notice of Nov. 5, 1985 (1060 O.G. 27).
 - A copy of the conditional petition for extension of time in the prior application is attached.

XVIII. Abandonment of Prior Application

- Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application. At the same time, please add the words "now abandoned" to the amendment to the specification set forth in XIII above.
- NOTE: According to the Notice of May 13, 1983 (103 TMOG 6-7), the filing of a continuation or continuation-inpart application is a proper response with respect to a petition for extension of time or a petition to revive and should include the express abandonment of the prior application conditioned upon the granting of the petition and the granting of a filing date to the continuing application.
- NOTE: "A registered attorney or agent acting under the provisions of \$ 1.34(a), or of record, may also expressly abandon a prior application as of the filing date granted to a continuing application when filing such a continuing application." 37 C.F.R. 1.138.

XIX. Information Disclosure Statement

Submitted herewith is an Info	rmation Disclosure Statement
-------------------------------	------------------------------

XX. Assignee Certification

WARNING: When an assignee files a continuation or divisional application (under 37 C.F.R. 1.53, 1.60 or 1.6 reference may be made to a statement filed under 37 C.F.R. 3.73(b) in the parent application a copy of that statement may be filed. A newly executed statement under 37 C.F.R. 3.73(b) must be filed when a continuation-in-part application is filed by an assignee. Notice of April 30, 195 1150 O.G. 62-64.								
	(complete the following, if	the assignee is signing below)						
_	☐ This is a ☐ continuation ☐ divisional application and the statement under 37 C.F.R. 3.73(b)							
[☐ has been filed in the paren	t application.						
[☐ A copy of the previously filed	d statement in the parent application is attached.						
		Brian L. Michaelis						
	·	(type or print name of person signing declaration)						
31	une 1997	Signature						
Date /	osntein, Roberts & Cushm	an, LLP						
	s of Signatory er Street Boston, MA 0210							
(if applicable Tel. No.: (6 Reg. No.: 3	17) 523–3400	☐ Inventor ☐ Assignee of complete interest ☐ Person authorized to sign on behalf of assignee ☐ Attorney or agent of record ☐ Filed under Rule 34(a)						
(complete the following, if applicable)								
SHARP K	ABUSHIKI KAISHA							
(type name of 22-22, 1	of assignee) Nagaike-cho, Abeno-ku	.•						
Address of a Osaka 5	assignee 45 Japan							
Attorne	у							
Title of pers	on authorized to sign on behalf of							

(FWC [4-2]—page 12 of 13)

		
(Rel.70-12 96 Pub 605)	FORM 4-2	

Assig	nment recorded	d in PTO d	on <u>June 6.</u>	1995		
Reel .	7501	Frame	0627	-		
		☐ Plus	ADDED PAGE	FOR INVENTO	OR'S DATA FOR	FWC FILING
		☐ Plus	ASSIGNMENT	(DOCUMENT)	COVER LETTER	ACCOMPANY-
		ING	NEW PATENT	APPLICATION		

Reg. No. 34,221

Brian L. Michaelis

Dike, Bronstein, Roberts & Cushman, LLP (type or print name of attorney)

130 Water Street

P.O. Address
Boston, MA 02109

(FWC [4-2]—page 13 of 13)